

COPY OF PAPERS
ORIGINALLY FILED

#3 sector



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-----------------------|------------------------|
| 10/010,925 | 12/07/2001 | Toshiyuki Mima | 791_176 |

CONFIRMATION NO. 5613

025191
BURR & BROWN
PO BOX 7068
SYRACUSE, NY 13261-7068

FORMALITIES LETTER



OC000000007282202

Date Mailed: 01/09/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 870.**

02/28/2002 NMOHAMM1 00000082 10010925

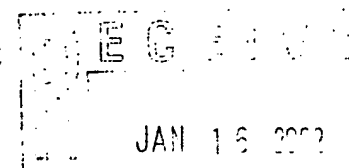
| | |
|-----------|-----------|
| 01 FC:101 | 740.00 OP |
| 02 FC:105 | 130.00 OP |

A copy of this notice **MUST** be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE





COPY OF PAPERS
ORIGINALLY FILED

#3

Page 1 of 2

| | | |
|----------------------|------------------|--|
| Application Number | 10/010,925 | I hereby certify that on February 6, 2002 this correspondence is being deposited with the United States Postal Service as first class mail addressed to: Box Missing Parts Assistant Commissioner for Patents Washington D.C. 20231 <i>Desiree M. Bennett</i> Desiree M. Bennett |
| Filing Date | December 7, 2001 | |
| First Named Inventor | Toshiyuki MIMA | |
| Art Unit | 2121 | |
| Examiner Name | Not Assigned | |
| Confirmation No. | 5613 | |
| Attorney Docket No. | 791 176 | |

Box Missing Parts
Assistant Commissioner for Patents
Washington, DC 20231

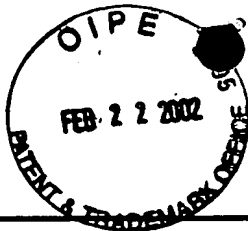
**COMPLETION OF FILING REQUIREMENTS
— NONPROVISIONAL APPLICATION**

Sir:

1. ☒ This replies to the Notice to File Missing Parts of Nonprovisional Application mailed January 9, 2002.
☒ A copy of the Notice to File Missing Parts of Nonprovisional Application—Filing Date Granted is enclosed.
2. **Declaration or Oath**
☒ No Declaration or Oath was filed. Enclosed is the original Declaration or Oath for this application.
3. **Transmittal of English Translation of Non-English Language Papers**
☐ Submitted herewith is an English translation of the non-English language application papers as originally filed. Also submitted herewith is a statement by the translator of the accuracy of the translation. It is requested that this translation be used as the copy for examination purposes in the PTO.
4. **Other Papers Enclosed**
☐ A Preliminary Amendment
☒ An Assignment with Assignment Transmittal
☐ An Information Disclosure Statement
☐ Form PTO-1449
☐ Copies of IDS Citations

5. The filing fee has been calculated as shown below:

| | | | | | | |
|---|--------------|--------------|------|-----------|----|--------|
| Basic Filing Fee (37 CFR §1.16(a)) | | | | | \$ | 740.00 |
| CLAIMS | NUMBER FILED | NUMBER EXTRA | RATE | | | |
| Total Claims | 14 - 20 = | 0 | × | \$ 18.00 | \$ | .00 |
| Indep. Claims | 2 - 3 = | 0 | × | \$ 84.00 | \$ | .00 |
| MULTIPLE DEPENDENT CLAIM(S) (if applicable) | | | + | \$ 280.00 | \$ | .00 |



COPY OF PAPERS
ORIGINALLY FILED

Page 2 of 2

| | |
|--|-----------|
| 6. Surcharge Fees (37 CFR 1.16(e)) <input checked="" type="checkbox"/> late payment of filing fee and/or <input checked="" type="checkbox"/> late filing of original declaration or oath | \$ 130.00 |
| 7. Extension of Time a. <input checked="" type="checkbox"/> Applicant believes that no extension of term is required. However, this conditional petition is being made to provide for the possibility that applicant has inadvertently overlooked the need for a petition and fee for extension of time. OR b. <input type="checkbox"/> Applicant petitions for an extension of time, the fees for which are set out in 37 C.F.R. 1.17(a)(1)-(4), for the total number of months checked below: <input type="checkbox"/> One Month (37 CFR 1.17(a)(1)) \$ 110.00 <input type="checkbox"/> Two Months (37 CFR 1.17(a)(2)) \$ 400.00 <input type="checkbox"/> Three Months (37 CFR 1.17(a)(3)) \$ 920.00 | \$ |
| TOTAL OF ABOVE CALCULATIONS = | \$ 870.00 |
| 8. Small Entity Status <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2. | \$.00 |
| SUBTOTAL = | \$ 870.00 |
| <input type="checkbox"/> Fee for processing an application filed with a specification in a non-English language (37 C.F.R. 1.17(i) and 1.52(d)) | \$.00 |
| <input checked="" type="checkbox"/> Assignment Fee (37 CFR §1.21(h)) | \$ 40.00 |
| TOTAL FEES DUE = | \$ 910.00 |
| 9. Payment of Fees and Authorization to Charge Additional Fees or Credit Overpayment <input checked="" type="checkbox"/> A check in the amount of <u>\$910.00</u> is enclosed. <input type="checkbox"/> Charge Deposit Account 50-1446 in the amount of \$ _____. Enclosed is a duplicate copy of this sheet. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to credit overpayments or charge the following fees to Deposit Account No. 50-1446: a. <input checked="" type="checkbox"/> Fees required under 37 CFR 1.16. b. <input checked="" type="checkbox"/> Fees required under 37 CFR 1.17. c. <input type="checkbox"/> Fees required under 37 CFR 1.18. | |

| | | | | | |
|----------------------|-----------------|-----------|----------------|--------------|----------------|
| Submitted By: | | | | | |
| Name | Stephen P. Burr | Reg. No. | 32,970 | Customer No. | 025191 |
| | | Telephone | (315) 233-8300 | Facsimile | (315) 233-8320 |
| Signature | | | | Date | Feb. 6, 2002 |